



UNIVERSITY of MARYLAND

NIH Public Access Policy: RPPR and MY NCBI

Amanda Snyder, Assistant Director, SPA

Alexa Mayo, Associate Director, HS/HSL

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NIH Public Access Policy

- Researchers must submit final peer-reviewed manuscripts that arise from NIH funds to *PubMed Central (PMC)* upon acceptance for publication.
- Papers must be in PMC no later than 12 months after publication.
- Applies to papers accepted for publication on or after April 7, 2008.

Why Discuss This Now?

- NIH is enforcing compliance with their Public Access Policy by ensuring that all publications in progress reports are in compliance with the Policy before issuing awards
- **This enforcement begins for all grants with 7/1/2013 start dates and beyond**
- These are the progress reports we are preparing for May deadlines
- Applies to both paper and electronic NIH progress reports

NIH Implementation of Research Performance Progress Reports

- OMB mandated that federal agencies implement a federal-wide Research Performance Progress Report (**RPPR**) for required annual or other interim performance reporting on research grant and cooperative agreement awards.
- Purpose is to standardize recipient reporting on federally-funded research projects.
- After a pilot period, NIH started RPPR use for Streamlined Non-competing Award Process (SNAP) and Fellowship progress reports beginning 10/19/2012.
- **NIH is requiring use of RPPR for SNAP and Fellowship awards with a start date of 7/1/2013 and beyond (due in May)**
- Non-SNAP awards will transition in late 2013 or early 2014

The NIH RPPR

- eSNAP module in NIH Commons becomes RPPR module
- The format is different, but much of the content is similar
- <http://grants.nih.gov/grants/rppr/>
 - NIH website has screenshots, FAQ, webinar, guide, and more
- Section C.1 of the RPPR, Publications, requires the PI to link publications to the project
- There is a direct link between the RPPR and My NCBI

NIH RPPR Publications Section

C. Products

To see all of your publications from MyNCBI and to associate those with this RPPR, the answer to the *Products* question should be "Yes".

NIH Manuscript Submission System Status: Available

C.1 Publications

Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award? Yes No

If yes, select from the table below to affiliate publications with this progress report.

If you need to login to My NCBI account please use this link: [My NCBI](#) 

All publications associated with this project in My NCBI

No items found.

[Associate with this RPPR](#) | [NIH Public Access Compliance](#) | [Citation](#)

Nothing found to display.

Hide publications from My NCBI

Shows compliance status of publication

Publications not associated with this project in My NCBI

9 items found, displaying all items.

Associate with this RPPR	NIH Public Access Compliance	Citation
<input type="checkbox"/>	Non-Compliant	Winkler CW, Hermes SM, Chavkin CI, Drake CT, Morrison SF, Aicher SA. Kappa opioid receptor (KOR) and GAD67 immunoreactivity are found in OFF and NEUTRAL cells in the rostral ventromedial medulla. J Neurophysiol. 2006 Dec; 96 (6) :3465-73. PubMed PMID:17005613.
<input type="checkbox"/>	Complete	Macey TA, Ingram SL, Bobeck EN, Hegarty DM, Aicher SA, Arttamangkul S, Morgan MM. Opioid receptor internalization contributes to dermorphin-mediated antinociception. Neuroscience. 2010 Jun 30; 168 (2) :543-50. PubMed PMID:20394808; PubMed Central PMCID: PMC3312465.
<input type="checkbox"/>	Complete	Hegarty DM, Tonsfeldt K, Hermes SM, Helfand H, Aicher SA. Differential localization of vesicular glutamate transporters and peptides in corneal afferents to trigeminal nucleus caudalis. J Comp Neurol. 2010 Sep 1; 518 (17) :3557-69. PubMed PMID:20593358; PubMed Central PMCID: PMC2933108.
<input type="checkbox"/>	Complete	Barsukova AG, Bourdette D, Forte M. Mitochondrial calcium and its regulation in neurodegeneration induced by oxidative stress. Eur J Neurosci. 2011 Aug; 34 (3) :437-47. PubMed PMID:21722208; PubMed Central PMCID: PMC3221651.
<input type="checkbox"/>	Complete	Aicher SA, Hermes SM, Whittier KL, Hegarty DM. Descending projections from the rostral ventromedial medulla (RVM) to trigeminal and spinal dorsal horns are morphologically and neurochemically distinct. J Chem Neuroanat. 2011 Nov 20; PubMed PMID:22119519; PubMed Central PMCID: PMC3319838.

Sort Table Above By

Ascending Descending

Then By

Ascending Descending

NIH RPPR Publications Section

- If a publication is not in My NCBI, it cannot be added to the RPPR
 - Therefore, if a publication is missing from the list, it must be added to My NCBI
 - After publication is added to My NCBI, it will become available to choose in the RPPR
- A noncompliant publication can be added to the RPPR
 - RPPR can be submitted with a noncompliant publication
 - A warning message will be generated

RPPR with Noncompliant Publications

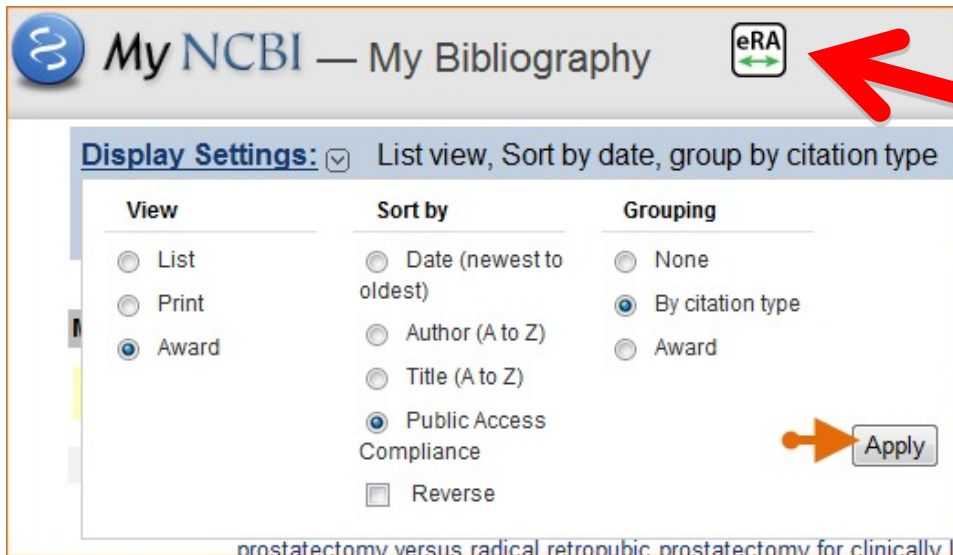
- If an RPPR is submitted with noncompliant publications:
 - Automated email to PI will contain instructions that the PI needs to follow to complete the Progress Report Additional Materials (PRAM) via the NIH Commons.
 - Only PI can initiate this action in the Commons.
 - Link appears automatically in the Commons when RPPR with noncompliant publications is submitted.
 - PI enters info, routes to SO (SPA contact), and SO submits. This procedure is consistent with most other Commons submissions.
 - Currently, not mandatory to use PRAM for submission of this info. An email to Grants Management will also be acceptable for now.
- NIH will **NOT** issue the next year of the award until all publications are compliant

NIH Progress Reports on PHS 2590

- Paper progress reports (non-SNAP and Fellowships)
- PI can generate a publications list via My NCBI
http://www.nlm.nih.gov/pubs/techbull/nd12/nd12_myncbi_pdf.html
- NIH will **NOT** issue the next year of the award until all publications are compliant

Managing Compliance with My NCBI

- Using My NCBI's **My Bibliography**, eRA Commons users are able to:
 - View publications' compliance status
 - Start compliance process
 - Associate publications to awards



Icon verifies that an eRA account has been linked to a My NCBI account

Display shows compliance status of publications

● Non-compliant

Article not from a Method A journal and process for submitting to the NIHMS has not begun. Does not have a PMCID.

● In process

Article NOT from a Method A journal AND is less than 3 months past publication date. Manuscript has been submitted to NIHMS but does not yet have a PMCID.

● Compliant

Article has PMCID or has been published in a Method A journal

● Public Access Compliance: Non-compliant. No PMCID 3 months post publication. NIHMS ID: [NIHMS54654](#)

[NIH Funding:](#)

R01 AI044076-08 - TPN INDUCED CHANGES IN INTRAEPITHELIAL LYMPHOCYTES
R01 AI044076-09 - TPN INDUCED CHANGES IN INTRAEPITHELIAL LYMPHOCYTES
R01 AI044076-10 - TPN INDUCED CHANGES IN INTRAEPITHELIAL LYMPHOCYTES
R01 AI044076-11A1 - TPN Induced Changes in Intraepithelial Lymphocytes

- Kujawa SG, Liberman MC. [Adding insult to injury: cochlear nerve degeneration after "temporary" noise-induced hearing loss.](#) J Neurosci. 2009 Nov 11;29(45):14077-85. PubMed PMID: 19906956.

● Public Access Compliance: In process at NIHMS. (NIHMS ID: [NIHMS163964](#))

[NIH Funding:](#)

R29 AI044076-04 - TPN INDUCED CHANGES IN INTRAEPITHELIAL LYMPHOCYTES

- Marom M, Hagalili Y, Sebag A, Tzvier L, Atlas D. [Conformational changes induced in the voltage-gated calcium channels Cav1.2 by BayK 8644 or FPL-64176 modify the kinetics of secretion independently of Ca2+ influx.](#) J Biol Chem. 2010 Jan 6. [Epub ahead of print] PubMed PMID: 20054004.

● Public Access Compliance: PMC Journal - In Process

[NIH Funding:](#) No funding has been associated with this citation.

- Mohammed F. [Screening for colorectal cancer.](#) N Engl J Med. 2010 Jan 7;362(1):85; author reply 85. PubMed PMID: 20058342.

● Public Access Compliance: Method B Journal - Pending PMC Submission

[NIH Funding:](#)

R01 AI044076-06 - TPN INDUCED CHANGES IN INTRAEPITHELIAL LYMPHOCYTES
R01 AI044076-07 - TPN INDUCED CHANGES IN INTRAEPITHELIAL LYMPHOCYTES



- Allen P, Thompson JL, Herman CJ, Whyte AN, Wolfe VK, Qualls C, Helitzer DL. [Impact of periodic follow-up testing among urban American Indian women with impaired fasting glucose.](#) Prev Chronic Dis. 2008 Jul;5(3):A76. Epub 2008 Jun 15. PubMed PMID: 18558026; PubMed Central PMCID: PMC2483541.

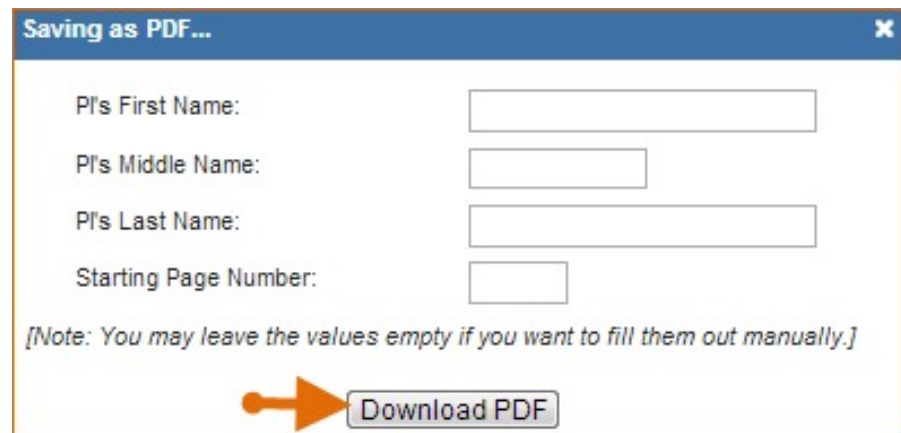
● Public Access Compliance: Complete. PMCID: [PMC2483541](#)

[NIH Funding:](#) No funding has been associated with this citation.

- Komáromy AM, Alexander JJ, Cooper AE, Chiodo VA, Glushakova LG, Acland GM, Hauswirth WW, Aguirre GD. [Targeting gene expression to cones with human cone opsin promoters in recombinant AAV.](#) Gene Ther. 2008 Jul;15(14):1049-55. Epub 2008 Mar 13. PubMed PMID: 18337838; PubMed Central PMCID: PMC2726772.

My NCBI My Bibliography Features

- Start compliance process by clicking on 
- Associate grants to citations 
- Filter citations by awards, paper/grant associations and more
- Create an award compliance report PDF for your bibliography
- Assign a delegate



Saving as PDF...


PI's First Name:

PI's Middle Name:

PI's Last Name:

Starting Page Number:

[Note: You may leave the values empty if you want to fill them out manually.]







 Download PDF

NIH Manuscript Submission System

Login Options

The NIH Manuscript Submission allows you to submit an electronic version of your peer-reviewed final manuscript for inclusion in [PubMed Central](#). Eligible manuscripts must have been funded by one of the participating groups listed in the login table below.

Choose a login route:

Route	Users	Policy
 NIH & eRA Commons	NIH intramural and extramural scientists NOTE: eRA Commons account holders now enter login credentials on the "NIH Login" screen.	
 publisher	publishers	
 HHMI	Howard Hughes Medical Institute investigators	
 myNCBI	others	

 You should use the same login for all subsequent visits.

NIHMS does not maintain these login routes. If you experience problems with your login, please contact the institution that is responsible for the account.

If you do not have an account, myNCBI allows users to create new accounts; click on the myNCBI route to create one.

If you are a PI, you can register for an eRA Commons account at <https://commons.era.nih.gov/commons/registration/registrationInstructions.jsp>.

For more information on how to use this system to submit your manuscript see [User's Guide](#).

Manuscript List for Andrea Goldstein

Start Here 

[Submit New Manuscript](#)

NIHMSID

[Find Manuscript](#)

[Attention \(0\)](#)

[Optional \(0\)](#)

[In process \(0\)](#)

[Stalled \(0\)](#)

[Completed \(0\)](#)

[Published \(0\)](#)

No manuscripts that require your attention

[? I don't see one of my manuscripts.](#)

Compliance Status at UMB

- ~**75%** compliance rate – national average
- In the past year, **832** articles fall under policy:
 - 619 compliant
 - 26 in process
 - 187 noncompliant
- Since April 2008, **3,908** articles fall under policy:
 - 3,136 compliant
 - 746 non-compliant

HS/HSL Support for Compliance

- Notifying researchers about compliance status
- Questions?
 - Andrea Goldstein agoldste@hshsl.umaryland.edu
 - Alexa Mayo amayo@hshsl.umaryland.edu
- Public Access Policy/Compliance
<http://guides.hshsl.umaryland.edu/nihpolicy>

Resources

- NIH Public Access Page on ORD
Website http://www.ord.umaryland.edu/ord_research/PubAccess.html
- Upcoming Changes to Public Access Policy Reporting Requirements and Related NIH Efforts to Enhance Compliance <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-160.html>
- Changes to Public Access Policy Compliance Efforts Apply to All Awards with Anticipated Start Dates on or after July 1, 2013 <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-042.html>
- NIH Requires Use of RPPR for All SNAP and Fellowship Progress Reports, and Expands RPPR Functionality <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-035.html>
- NLM Website - My Bibliography: Award Compliance Reports in PDF for eRA Commons Users
http://www.nlm.nih.gov/pubs/techbull/nd12/nd12_myncbi_pdf.html
- NCBI Bookshelf – My Bibliography
<http://www.ncbi.nlm.nih.gov/books/NBK53595/>